IAP7 Rec'd PCT/PTO 15 FEB 2006

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

| | TRA | NSMITTAL LETTER T | O THE UNITED STATES | ATTORNEY'S DOCKET NUMBER |
|------------|------------------------|--|--|---|
| | | | D OFFICE (DO/EO/US) | 033899-0112 |
| | С | ONCERNING A FILING | UNDER 35 U.S.C. 371 | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/535,278 |
| | PCT/IN2 | 002/000225 | INTERNATIONAL FILING DATE 11/20/2002 | PRIORITY DATE CLAIMED 11/20/2002 |
| | | VENTION OVED PROCESS FOR THE P | REPARATION OF GABALACTAM | |
| API | PLICANT | (S) FOR DO/EO/US | THE PROPERTY OF THE PROPERTY O | |
| Apr | Nagaraja olicant he | n KUPPUSWAMY et al. rewith submits to the United Sta | tes Designated/Elected Office (DO/EO/US) | the following items and other information: |
| 1. | | | items concerning a filing under 35 U.S.C. 3 | |
| 2. | \boxtimes | | QUENT submission of items concerning a f | |
| 3. | | | pegin national examination procedures (35 l | U.S.C. 371(f)). The submission must include |
| 4. | | The US has been elected (Arti | cle 31). | |
| 5. | | A copy of the International App | olication as filed (35 U.S.C. 371(c)(2)) | |
| | | is attached hereto (requ | uired only if not communicated by the Intern | national Bureau). |
| | | ☐ has been communicate | d by the International Bureau. | |
| | | is not required, as the a | pplication was filed in the United States Re | ceiving Office (RO/US) |
| 6. | | is attached hereto. | on of the International Application as filed (3) bmitted under 35 U.S.C. 154(d)(4). | 5 U.S.C. 371(c)(2)). |
| 7. | | are attached hereto (red have been communicat | the International Application under PCT Artiquired only if not transmitted by the Internat ted by the International Bureau. towever, the time limit for making such amend will not be made. | ional Bureau). |
| 8. | | An English language translatio | n of the amendments to the claims under P | PCT Article 19 (35 U.S.C. 371(c)(3)). |
| 9. | \boxtimes | An oath or declaration of the in | ventor(s) (35 U.S.C. 371(c)(4)). | |
| 10. | | An English language translatio 36 (35 U.S.C. 371(c)(5)). | n of the annexes to the International Prelim | ninary Examination Report under PCT Article |
| Iten | ns 11 to 2 | 0 below concern other docum | nent(s) or information included: | |
| 11. | | An Information Disclosure State | ement under 37 CFR 1.97 and 1.98. | |
| 12. | | An assignment document for re | ecording. A separate cover sheet in compli | iance with 37 CFR 3.28 and 3.31 is included. |
| | | A preliminary amendment. | | |
| | | An Application Data Sheet und | ler 37 CFR 1.76. | |
| 15. | | A substitute specification. | | • |
| | | A power of attorney and/or cha | | |
| 17. | | A computer-readable form of the | ne sequence listing in accordance with PCT | Rule 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825 |
| 18. | | A second copy of the published | d international application under 35 U.S.C. 1 | 54(d)(4). |
| 19. 20. | | A second copy of the English la Other items or information: | anguage translation of the international appli | ication under 35 U.S.C. 154(d)(4). |
| | | | | |

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| 10/535 | | own, see 37 CF | R. 1.5) | PCT/IN2002/000225 | NO. | | 1 | 99-011 | ET NUMBER | |
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| 7 | The following | ng fees have | been su | ubmitted: | | | | | | |
| 21. | Basic nati | | | | \$ | 300 |) | \$ | 0.00 | |
| provision | ns of PCT A | | | oort prepared by USPTO ar | | ms s 3100 | | \$ | 0.00 | |
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| | - | national Sea | | · · | | 100 | | | | |
| 1 | | Report prepa | red and | provided to the Office | \$ | 400 |) | | | |
| All other sit | | | | | \$ | 500 | <u> </u> | \$ | 0.00 | |
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| seque | nce listing | or computer | r progran | awings filed in paper over n listing filed in an electroni paper or fraction thereof. | | | | | | |
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| | plicant clair uced by ½ | | ity status | s. See 37 CFR 1.27. Fees | above are | е | + | \$ | 0.00 | |
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| | | | | English translation later th | an 30 mo | nths | 5 | \$ | | |
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| NOTE: W 1.137(a) o | Vhere an or (b)) mu | appropria st be filed | te time and gr | e limit under 37 CFR anted to restore the in | 1.495 ha ternatio | as r nal | not been Applica | met, tion to | a petition to pending stat | revive (37 CFR us. |
| SEND ALI | L CORRE | SPONDE | NCE TO |) : | | _ | Mue | | so. Kam | Lau. |
| | Foley & L | ardner LL | Þ | | | _ | SIGNATU | — | | |
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| · | Justonie | i Nullibel. | . 22420 | , | | | NAME | | | |
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Rec'd PCT/PTO 15 FEB 2006



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/535,278

Nagarajan Kuppuswamy

033899-0112

INTERNATIONAL APPLICATION NO. PCT/IN02/00225

I.A. FILING DATE

PRIORITY DATE

11/20/2002

22428 FOLEY AND LARDNER LLP **SUITE 500** 3000 K STREET NW WASHINGTON, DC 20007

CONFIRMATION NO. 3872 371 FORMALITIES LETTER *OC000000017634438*

Date Mailed: 12/16/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/18/2005
- Copy of the International Search Report filed on 05/18/2005
- Preliminary Amendments filed on 05/18/2005
- Information Disclosure Statements filed on 05/18/2005
- Oath or Declaration filed on 05/18/2005
 - U.S. Basic National Fees filed on 05/18/2005
 - Specification filed on 05/18/2005
 - Claims filed on 05/18/2005
 - Abstracts filed on 05/18/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER, FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed





to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO |
|-----------------------------|-------------------------------|-----------------|
| 10/535,278 | PCT/IN02/00225 | 033899-0112 |

FORM PCT/DO/EO/905 (371 Formalities Notice)